| SEC For                                                                                                                      | m 4                                                                   |                                            |                                                   |        |                                                                |         |                                                                                                                |        |                                            |                 |                                                                             |                            |                                          |                                                       |                                                                                                                            |          |                                                                          |                                                                    |
|------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------|---------------------------------------------------|--------|----------------------------------------------------------------|---------|----------------------------------------------------------------------------------------------------------------|--------|--------------------------------------------|-----------------|-----------------------------------------------------------------------------|----------------------------|------------------------------------------|-------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|----------|--------------------------------------------------------------------------|--------------------------------------------------------------------|
| FORM 4 UNITED STAT                                                                                                           |                                                                       |                                            |                                                   |        |                                                                | S SE    |                                                                                                                |        | ES AND                                     |                 | HAI                                                                         | NGE C                      | OMM                                      | SSION                                                 |                                                                                                                            | OMB      | APPRO\                                                                   | /AL                                                                |
| Check this box if no longer subject to<br>Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b). |                                                                       |                                            |                                                   |        | d purs                                                         | suant t | to Sectior                                                                                                     | n 16(a | <b>ES IN B</b><br>a) of the Sect           | SHIP            | OMB Number: 3235-0287   Estimated average burden    hours per response: 0.5 |                            |                                          |                                                       |                                                                                                                            |          |                                                                          |                                                                    |
| 1. Name and Address of Reporting Person <sup>*</sup><br>Shihabi Sami                                                         |                                                                       |                                            |                                                   |        | 2.1                                                            | ssuer   | Name <b>an</b>                                                                                                 | d Tic  | ker or Tradir<br>NC. [ PR                  | g Symbo         |                                                                             | (Ch                        | Relationship<br>eck all appli<br>Directo | cable)                                                | g Pers                                                                                                                     | ner      |                                                                          |                                                                    |
| (Last) (First) (Middle)<br>C/O PROGENITY, INC.                                                                               |                                                                       |                                            |                                                   |        | 3. Date of Earliest Transaction (Month/Day/Year)<br>03/15/2021 |         |                                                                                                                |        |                                            |                 |                                                                             |                            |                                          | below)                                                |                                                                                                                            | nercia   | Other (specify<br>below)<br>cial Officer                                 |                                                                    |
| 4330 LA JOLLA VILLAGE DRIVE, SUITE 200                                                                                       |                                                                       |                                            |                                                   |        | 4. If Amendment, Date of Original Filed (Month/Day/Year)       |         |                                                                                                                |        |                                            |                 |                                                                             |                            | 6.1                                      | 6. Individual or Joint/Group Filing (Check Applicable |                                                                                                                            |          |                                                                          |                                                                    |
| (Street)<br>SAN DII                                                                                                          | Street)<br>SAN DIEGO CA 92122                                         |                                            |                                                   |        |                                                                | Line)   |                                                                                                                |        |                                            |                 |                                                                             |                            |                                          | ,                                                     |                                                                                                                            |          |                                                                          | I                                                                  |
| (City) (State) (Zip)                                                                                                         |                                                                       |                                            |                                                   |        |                                                                |         |                                                                                                                |        |                                            |                 |                                                                             |                            |                                          | Feisor                                                | I                                                                                                                          |          |                                                                          |                                                                    |
|                                                                                                                              |                                                                       | Tab                                        | ole I - Non                                       | -Deriv | ativ                                                           | e Seo   | curities                                                                                                       | s Ac   | quired, D                                  | ispose          | ed o                                                                        | f, or Bei                  | neficial                                 | ly Owned                                              |                                                                                                                            |          |                                                                          |                                                                    |
| 1. Title of Security (Instr. 3) 2. Transa<br>Date<br>(Month/D                                                                |                                                                       |                                            |                                                   |        |                                                                | ear) i  | 2A. Deeme<br>Execution<br>f any<br>Month/Da                                                                    | Date   | Code (In:                                  | on Disposed     |                                                                             | ies Acquire<br>Of (D) (Ins | ed (A) or<br>tr. 3, 4 and                | Beneficia<br>Owned F                                  | es<br>ally<br>Following                                                                                                    | Form     | Direct Indirect Estr. 4)                                                 | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                |
|                                                                                                                              |                                                                       |                                            |                                                   |        |                                                                |         |                                                                                                                |        | Code \                                     | Amount          |                                                                             | (A) or<br>(D)              | Price                                    | Transact                                              | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                                                                             |          |                                                                          | (Instr. 4)                                                         |
|                                                                                                                              |                                                                       |                                            | Table II - I<br>(                                 |        |                                                                |         |                                                                                                                |        | uired, Dis<br>s, options                   |                 |                                                                             |                            |                                          | Owned                                                 |                                                                                                                            |          | I                                                                        | (                                                                  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                                                                          | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemee<br>Execution I<br>if any<br>(Month/Day | ate, T | ransa<br>ode (                                                 |         | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D) (Instr.<br>3, 4 and 5) |        | 6. Date Exer<br>Expiration I<br>(Month/Day | Date            | e of Securit                                                                |                            | es<br>J<br>Security                      | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)   | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | s<br>Ily | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                                                                                                              |                                                                       |                                            |                                                   | c      | ode                                                            | v       | (A)                                                                                                            | (D)    | Date<br>Exercisable                        | Expirat<br>Date | tion                                                                        | Title                      | Amount<br>or<br>Number<br>of<br>Shares   |                                                       |                                                                                                                            |          |                                                                          |                                                                    |
| Stock<br>Option<br>(Right to<br>Buy)                                                                                         | \$4.73                                                                | 03/15/2021                                 |                                                   |        | A                                                              |         | 65,150                                                                                                         |        | (1)                                        | 03/15/2         | 2031                                                                        | Common<br>Stock            | 65,150                                   | \$0.00                                                | 65,150                                                                                                                     | 0        | D                                                                        |                                                                    |

Explanation of Responses:

1. This option represents the right to purchase 65,150 shares of the Issuer's common stock, and will vest in full on March 15, 2022.

## **Remarks:**

<u>/s/ Will Pridgen, Attorney-in-</u> <u>Fact for Sami Shihabi</u>

03/17/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.