SEC Form 4
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FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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	ction 1(b).	nue. See			Filed						urities Excha Company A		f 1934				ours per re	sponse:		
1. Name a	nd Address o	f Reporting Person*				2. Iss	uer Name <b>a</b>	nd Tie	cker or Tr	ading	Symbol				elationship o		rting Pers	on(s) to Is	suer	
<u>Athyrin</u>	um Oppo	rtunities Fund	<u>l (A) LP</u>			<u>PRC</u>	<u>)GENIT</u>	<u>'Y, I</u>	<u>NC.</u> [	PRO	G			(Cn	eck all applica Directo	,	3	X 10%	Owner	
		=: 0	<i><b>A</b><i>C</i> <b>I U N</b></i>		—						(D N/ )			-	Officer below)	(give ti	tle	Othe belo	er (specify	
(Last)		First) L STREET, SU	(Middle)				te of Earlies <mark>4/2021</mark>	t Iran	isaction (	Montr	1/Day/Year)				below)			beio	~~)	
(Street)					ſ	4. If A	mendment,	Date	of Origin	al File	ed (Month/D	ay/Year)		6. Ir	ndividual or Jo					
DALLA	.S ]	ΓX	75201													-		orting Pers n One Rep	oorting Pers	
(City)	(	State)	(Zip)																	
			Table I - N	lon-D	)eriva	ative	Securiti	es A	cauire	d. D	isnosed	of, or B	enefic	ially	Owned					
1. Title of	Security (Ins				nsactio		2A. Deemed		3.	, D	4. Securiti	es Acquired	d (A) or		5. Amount o	of	6. Own	ership	7. Nature of	
	, (			Date	h/Day/		Execution E if any	Date,	Transa Code (		Disposed	Of (D) (Insti	r. 3, 4 and	i 5)	Securities Beneficially		Form: [ (D) or li	Direct Indirect	Indirect Beneficial	
							(Month/Day	/Year)				(A) oi			Owned Follo Reported Transaction	-	(I) (Inst		Ownership (Instr. 4)	
									Code	v	Amount	(D)	Price	•	(Instr. 3 and			$ \rightarrow $		
Common	Stock			06/	14/20	21					8,097,10	66 A	\$2.4	<b>47</b> <sup>(1)</sup>	36,396,907		I		See footnotes <sup>(2)(3)</sup>	
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			Table I								sposed o , conver				Owned					
1. Title of	2.	3. Transaction	3A. Deemed Execution D		4.		5. Number	r of	6. Date	Exerc	isable and	7. Title ar	nd Amour	nt of	8. Price of		nber of	10.	11. Nat	
Derivative Security (Instr. 3)	Conversion or Exercise Price of	r Exercise (Month/Day/Year) rice of erivative				action (Instr.				Expiration Date (Month/Day/Year)		Securities Underly Derivative Security (Instr. 3 and 4)			Derivative Security (Instr. 5)	deriva Securi Benefi	ırities I	Ownersh Form: Direct (D)	Benefic	
(	Derivative Security						or Disposed of (D) (Instr. 3, 4					(	,	<b></b>	(	Owneo Follow	d ving	or Indired	ct 🛛 (Instr. 4	
				-		<u> </u>	and 5)		<u> </u>			<u> </u>			-		action(s)			
					Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Title	Amoun Numbe Shares	er of		(Instr.	4)			
Warrant <sup>(4)</sup>	\$2.84	06/14/2021			Р		8,097,166	<u>     </u>	06/14/2	021	06/14/2026	Common Stock <sup>(4)</sup>	8,097	166	\$2.47 <sup>(1)</sup>	0.007	7,166 <sup>(4)</sup>	I	See footnot	
(Last) 325 N. S	SAINT PAU	(First) IL STREET, SU	(Mid ITE 4900	dle)																
(Street) DALLA	S	ТХ	752	01																
(City)		(State)	(Zip)				-													
		f Reporting Person <sup>*</sup> rtunities 2020																		
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(Last)	TH AVENI	(First) JE, FLOOR 18	(Mid	dle)																
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(Street) NEW Y	ORK	NY	100	17																
(City)		(State)	(Zip)	1			-													
1. Name a	nd Address o	f Reporting Person*																		
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(Last)		(First)	(Mid	dlo)			-													
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(City)		(State)	(Zip)				_													
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<u>Athyrn</u>	<u>um Oppo</u>	<u>rtunities III C</u>	o-invest	<u>1 LP</u>	-															

505 FIFTH AVENU	E, FLOOR 18	
(Street) NEW YORK	NY	10017
(City)	(State)	(Zip)
1. Name and Address of <u>Athyrium Oppor</u>	Reporting Person <sup>*</sup> tunities Associate	s Co-Invest LLC
(Last) 505 FIFTH AVENU	(First) E, FLOOR 18	(Middle)
(Street) NEW YORK	NY	10017
(City)	(State)	(Zip)
1. Name and Address of <u>Athyrium Oppor</u>	Reporting Person <sup>*</sup> tunities Associate	s III GP LLC
(Last) 505 FIFTH AVENU	(First) E, FLOOR 18	(Middle)
(Street) NEW YORK	NY	10017
(City)	(State)	(Zip)
1. Name and Address of <u>Athyrium Oppor</u>	Reporting Person <sup>*</sup> tunities Associate	<u>s III LP</u>
(Last) 505 FIFTH AVENU	(First) E, FLOOR 18	(Middle)
(Street) NEW YORK	NY	10017
(City)	(State)	(Zip)
1. Name and Address of <u>Athyrium Oppor</u>	Reporting Person <sup>*</sup> tunities Fund (B)	LP
(Last) 325 N. SAINT PAU	(First) L STREET, SUITE 49	(Middle) 900
(Street) DALLAS	TX	75201
(City)	(State)	(Zip)
1. Name and Address of <u>Athyrium Oppor</u>	Reporting Person <sup>*</sup> tunities Associate	s GP LLC
(Last)	(First)	(Middle)
325 N. SAINT PAU	L STREET, SUITE 49	900
(Street) DALLAS	TX	75201
(City)	(State)	(Zip)
1. Name and Address of <u>Athyrium Oppor</u>	Reporting Person <sup>*</sup> tunities Associate	<u>s LP</u>
(Last) 325 N. SAINT PAU	(First) L STREET, SUITE 49	(Middle) 000
(Street) DALLAS	ТХ	75201
(City)	(State)	(Zip)

Explanation of Responses:

1. The shares of the Issuer's common stock and the Warrant were purchased for \$2.47 per unit, with each unit consisting of one share of the Issuer's common stock and the right to buy an additional share of the Issuer's common stock for the exercise price specified in the Warrant.

2. The 8,097,166 shares of the Issuer's common stock and the Warrant are directly owned by Athyrium Opportunities III Acquisition 2 LP. Athyrium Opportunities Associates III GP LLC is the general partner of Athyrium Opportunities Associates III LP, which is the general partner of Athyrium Opportunities III Acquisition 2 LP. Athyrium Funds GP Holdings, LLC is the managing member of Athyrium Opportunities Associates III GP LLC.

3. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein, except to the extent of such Reporting Person's pecuniary interest therein.

4. The Warrant represents a right to buy 8,097,166 shares of the Issuer's common stock at an exercise price of \$2.84 per share. The Warrant is exercisable at any time and from time to time prior to June 14, 2026.

## Remarks:

Because no more than 10 reporting persons can file any one Form 4 through the Securities and Exchange Commission's EDGAR system, certain affiliates of the Reporting Persons have filed a separate Form 4.

<u>/s/ Athyrium Opportunities Fund</u> ( <u>A) LP, by Christian Neira,</u> <u>Authorized Signatory</u> <u>/s/ Athyrium Opportunities 2020</u>	<u>06/14/2021</u>
<u>LP, by Athyrium Opportunities 2020</u> <u>LP, by Athyrium Opportunities</u> <u>Associates III LP, its general</u> <u>partner, by Athyrium</u> <u>Opportunities Associates III GP</u> <u>LLC, its general partner, by</u> <u>Andrew Hyman, Senior Vice</u> <u>President, Secretary</u>	<u>06/14/2021</u>
<u>/s/ Athyrium Opportunities Fund</u> ( <u>B) LP, by Christian Neira,</u> <u>Authorized Signatory</u>	<u>06/14/2021</u>
/s/ Athyrium Opportunities III Acquisition 2 LP, by Athyrium Opportunities Associates III LP, its general partner, by Athyrium Opportunities Associates III GP LLC, its general partner, by Andrew Hyman, Senior Vice President, Secretary	<u>06/14/2021</u>
/s/ Athyrium Opportunities III Co-Invest 1 LP, by Athyrium Opportunities Associates Co- Invest LLC, its general partner, by Andrew Hyman, Senior Vice President, Secretary	<u>06/14/2021</u>
/s/ Athyrium Opportunities Associates Co-Invest LLC, by Andrew Hyman, Senior Vice President, Secretary	<u>06/14/2021</u>
<u>/s/ Athyrium Opportunities</u> Associates III GP LLC, by Andrew Hyman, Senior Vice President, Secretary	<u>06/14/2021</u>
/s/ Athyrium Opportunities Associates III LP, by Athyrium Opportunities Associates III GP LLC, its general partner, by Andrew Hyman, Senior Vice President, Secretary	<u>06/14/2021</u>
/s/ Athyrium Opportunities Associates GP LLC, by Christian Neira, Authorized Signatory	06/14/2021
/s/ Athyrium Opportunities Associates LP, by Christian Neira, Authorized Signatory	06/14/2021
<u>Inella, Authorizeu Signatory</u>	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.